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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: TAUBER et. al

Serial No.: 09/845,108 Filed: April 26, 2001

For: RARE EARTH METAL COMPOUNDS FOR USE IN HIGH CRITICAL 15 TEMPERATURE THIN FILM SUPER-CONDUCTORS, FERROELECTRICS, PYROELECTRICS, PIEZOELECTRICS, AND HYBRIDS

Attorney

Docket No.: CECOM-5469

Examiner: Bos, S.

**GAU: 1754** 

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Assistant Commissioner of Patents Washington, D.C. 20231

**AMENDMENT** 

TC 1700

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Sir:

In response to the Office Action dated July 3, 2002, Paper No. 7, please amend the aboveidentified application as follows:

## 30 IN THE SPECIFICATION:

Delete the paragraph at page 2, lines 12-14 and replace the deleted paragraph with the following replacement paragraph:

The invention relates in general to new and useful devices composed of rare earth metal containing compounds, and in particular to new dielectric substrates and buffer layers composed of compounds of the general formula Sr<sub>2</sub>RESbO<sub>6</sub> where RE is a rare earth metal.

Delete the paragraph at page 12, line14 to page 13, line 6 and replace the deleted paragraph with the following replacement paragraph:

The real part of the dielectric constant is calculated from the shift in resonance

11/20/2002

11/20/2002

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 22

Application Number	09/845,108			
Filing Date	04/26/2001			
First Named Inventor	Arthur Tauber et al			
Group Art Unit	1754			
Examiner Name	J. Richardson			
Attorney Docket Number	CECOM 5469			

			ENCLOSURES (check	call t	hat apply)			
Fee Transmittal Fo	erm .		Assignment Papers (for an Application)		After Allowance Communication to Group			
Fee Attache	ed be		Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Repl	ly		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final			Petition		Proprietary Information			
Affidavits/de	eclaration(s)		Petition to Convert to a Provisional Application		Status Letter			
Extension of Time I	Request		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):			
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under 37 CF	R 1.52 or 1.53							
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Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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Complete if Known			
Application Number	09/845,108		
Filing Date	04/26/2001		
First Named Inventor	Arthur Tauber et al		
Examiner Name	S. Bos		
Art Unit	1754		
Attorney Docket No.	CECOM 5469		

METHOD OF PAYMENT (check all that apply)  FEE CALCULA				E CALCULATION (continued)		
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X Deposit Account:				<u>Entity</u>		
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Deposit Account	1052	50.	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below X Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	i
Charge any additional fee(s) during the pendency of this applicatio	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	400		200	Extension for reply within second month	\$400
1. BASIC FILING FEE	1253	920		460	Extension for reply within third month	
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Code (\$) Code (\$)	1	1.960	2255		Extension for reply within fifth month	
1001 740   2001 370   Utility filing fee	1401	320		160	Notice of Appeal	
1002 330 2002 165 Design filing fee	1402	320		160	Filing a brief in support of an appeal	
1003 510 2003 255 Plant filing fee	1403	280	2403		Request for oral hearing	
1004 740 2004 370 Reissue filing fee		1.510		1,510	Petition to institute a public use proceeding	
1005 160   2005 80   Provisional filing fee	1452	110	2452		Petition to revive - unavoidable	
SUBTOTAL (1) (\$) (9)		1,280	2453		Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,280	2501	640	Utility issue fee (or reissue)	
Fee from Ext <u>ra Claims below</u> Fee Paic		460	2502		Design issue fee	
Total Claims20** = X =	1503	620	2503	310	Plant issue fee	
Independent Claims - 3** = X =	1460	130	1460	130	Petitions to the Commissioner	2
Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	8
Large Entity   Small Entity	1806	180	1806	180	Submission of Information Disclosure	6
Fee Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	0
1202	1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	7 (5)
1203 280 2203 140 Multiple dependent claim, if not paid	1810	740	2810	370	For each additional invention to be	
1204 84 2204 42 ** Reissue independent claims	4004	740	0004	070	examined (37 CFR 1.129(b))	
over original patent  1205 18 2205 9 ** Reissue claims in excess of 20	1801 1802	740 900	2801 1802	370 900	Request for Continued Examination (RCE)  Request for expedited examination	<del></del>
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**or number previously paid, if greaters For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 400.00						400.00
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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.